

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

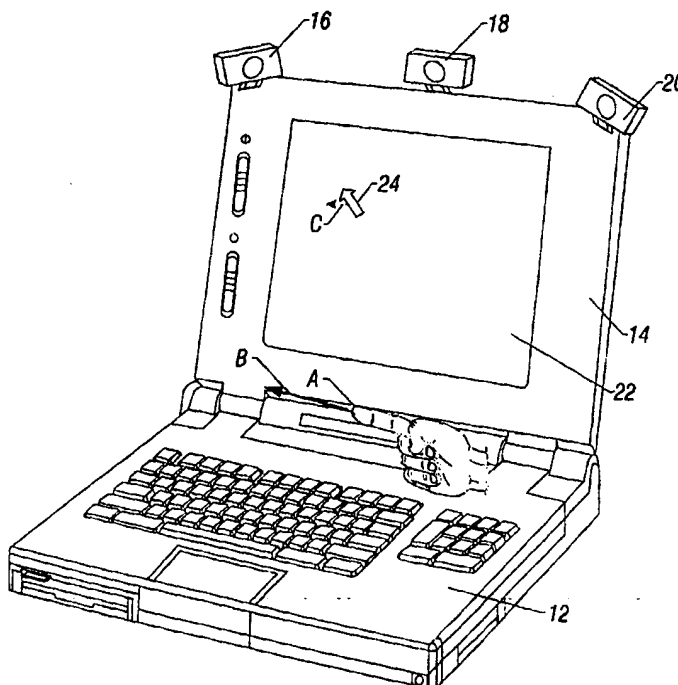
(51) International Patent Classification ⁷ : G06K 11/08, G06F 3/00		A1	(11) International Publication Number: WO 00/21023
			(43) International Publication Date: 13 April 2000 (13.04.00)
(21) International Application Number: PCT/US99/19521		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 26 August 1999 (26.08.99)			
(30) Priority Data: 09/167,814 7 October 1998 (07.10.98) US			
(71) Applicant: INTEL CORPORATION [US/US]; 2200 Mission College Boulevard, Santa Clara, CA 95052 (US).			
(72) Inventors: RICHARDSON, John; 2748 Northeast 19th Avenue, Portland, OR 97212 (US). SILVESTER, Kelan; 19840 N.W. Metolius Drive, Portland, OR 97229 (US). SHIMODA, Marion; 20045 S.W. Nancy Lane, Aloha, OR 97007 (US).			
(74) Agent: TROP, Timothy; Trop, Pruner, Hu & Miles, P.C., Suite 100, 8554 Katy Freeway, Houston, TX 77024 (US).			

Published*With international search report.*

(54) Title: CONTROLLING A POINTER USING DIGITAL VIDEO

(57) Abstract

The position of a pointer (24) on a computer display (14) may be controlled using captured streaming video. One or more digital cameras (16, 18, 20) may record actions inputted by the user. The recorded images are analyzed using appropriate software (52), such as pattern recognition software, to detect a particular object shape which corresponds to a given cursor command. When this object shape is detected, its direction, extent and/or rate of movement may be analyzed. Information about the direction, extent and/or rate of movement of the object may then be used to control the position on the pointer on a display screen.

**BEST AVAILABLE COPY**

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

Controlling A Pointer Using Digital VideoBackground

This invention relates generally to computers and particularly to pointing devices which control the position of display pointers or cursors.

5 A variety of pointing devices have been used in computer systems to control the position of a pointer or cursor on a display screen. The pointer or cursor is an image that may be moved across the screen to select a particular option provided in the form of a physical depiction on a user interface. In this way, a user may interact with a video display to provide focus to particular tasks and activities offered through the user interface.

10 Well known pointing devices include the mouse, which is held in the hand and moved over a surface. Movements of the mouse result in corresponding movements of the pointer through a mouse interface which converts the mouse movements into pointer display control signals.

15 Another familiar pointing device is the track ball which is essentially an inverted mouse. The track ball is a rotary ball which can be moved by the user. Movements of the ball are sensed and result in corresponding movements of the pointer. Another known pointing device is the pointing stick which is provided in the middle of the keyboards of certain computers. The stick may be tilted to control the position of the pointer.

20 Touch pad pointing devices allow the user to touch a contact surface. Movements of the user's finger on the contact surface are tracked as corresponding movements of the pointer on the computer display. Normally touch pads have capacitive sensors which detect finger position and movement and translate this movement into pointer position control signals.

25 Existing pointing devices generally involve an electromechanical interface which is prone to failure. In addition, the interface may become fouled, resulting in incorrect signals which may cause the movement of the pointing device to be incorrectly reflected in the pointer position on a display. In many cases, the extent of available movement of the pointing device is limited, making it difficult to learn to control the pointer using the limited movements available through the pointing device.

30 Thus there is a need for an improved pointing device which overcomes the disadvantages inherent in the existing pointing devices.

Summary

In accordance with one embodiment, a method for enabling control of a computer pointer includes enabling a computer to receive a digital video stream. A way is provided to analyze the video stream. A connection is created that allows the analysis of the video stream
5 to be used to control the pointer position.

Brief Description of the Drawings

Figure 1 is a side elevational view of one embodiment of the present invention;
Figure 2 is a perspective view of the embodiment shown in Figure 1;
10 Figure 3 is a block depiction of the embodiment shown in Figures 1 and 2;
Figure 4 is a diagram showing a method for implementing video control over pointer position;
Figure 5 is a flow diagram for software which may be used to control pointer position using one or more digital video cameras; and
15 Figures 6 and 7 are flow diagrams for calibration software.

Detailed Description

A computer system 10, shown in Figure 1, may include a keyboard base 12 and a display 14. The computer system 10 is illustrated as a portable computer system but other
20 computer systems including desktop computer systems may be used in connection with the present invention as well.

A plurality of digital video cameras 16, 18 and 20, as shown in Figures 1 and 2, may be coupled to the computer system 10. For example the cameras may be mounted on the display 14. The cameras may capture images created by the user for the purpose of
25 controlling the position of a pointer 24 on the screen 22 of the display 14.

For example, the user's hand A may assume a finger pointing position as shown in Figures 1 and 2. The hand A may be moved relative to the computer. The particular pattern of the pointed finger may be one which is recognized by software contained in the computer as indicating that pointer control is desired. The digital cameras 16, 18 and 20 may capture
30 the image of the hand A and its movement, indicated by the arrow B, and may reflect that movement in corresponding movements of the pointer 24, indicated by the arrow C in Figure 2.

While the present invention has been illustrated with respect to a simple finger pointing embodiment, other captured images may be recognized by the computer system as pointer control inputs. For example, a closed hand, a pointer of some particular shape in the hand, and the orientation and movement of the user's face could all be recognized as pointer control commands as well. Also, the motion of an object may be used to indicate direction, speed and other information.

With additional semantic processing one could translate a motion into its underlying meaning. For example, a head nodding yes or shaking no could be translated into a button click of Yes or No (or OK or Cancel) when a dialog box is on the screen. Similarly, hand gestures like a "thumbs up" for Yes and a sharp wave of the hand for No could be used.

In this way, the pointer position may be controlled without requiring actual contact with the computer itself. This eliminates the necessity for electromechanical connections used with the mouse and other pointing devices. Thus, it may be possible to improve the accuracy of the translation of the input pointer control commands to pointer movement.

Referring now to Figure 3, an exemplary computer system 10 for implementing an embodiment of the present invention includes a processor 26 communicating with a bridge 28 and system memory 30. The bridge 28 may couple a bus 32 which in turn couples a display controller 34, a video interface 36 and another bridge 38. The display controller 34 may couple the display screen 22. The video interface 36 may provide a connection to the cameras 16, 18 and 20. The bridge 38 may couple another bus (not shown) and a hard disk drive 40 which may store a software program 52 thereon. The software programs 52, 78 and 79 may be loaded into the system memory and executed.

Referring to Figure 4, the ability to control the pointer position using digital video requires that the computer system be enabled to receive a digital video stream (block 72). In addition an ability to analyze the video stream (block 74) must be provided as well. Conventionally, this video analysis may involve well known pattern recognition algorithms which may be preprogrammed at the factory or may be implemented through learning software. The learning software allows the user to train the software to recognize a particular user's fingers, movements, or desired attributes and to recognize them as particular pointer control commands.

Next, the computer system may be provided with a connection (block 76) which allows the analysis of the video signal to be used to control pointer position. To this end,

there may be a suitable connection implemented by a computer system which allows the results of the pattern recognition analysis to be communicated to a display controller 34 and a display screen 22. These steps may be implemented by the computer system manufacturer or by the developer of software such as application software which implements the pointing
5 device functionality. This functionality could be provided in the form of software operated by the computer system 10 or it could be provided by a peripheral such as one of the digital cameras 16, 18 or 20.

As shown in Figure 5, the software 52 for controlling pointer position begins upon the receipt of streaming video (block 54) from one or more of the digital video cameras 16-20.
10 In one embodiment, a frame of the streaming video may be selected (block 56) for analysis. The frame may be selected randomly, or at regular intervals or using a selection algorithm.

In addition, a scene change detector may be used to determine when there is a significant change in scene. When a significant scene change occurs, a frame may be captured for subsequent analysis. Scene change detectors are known in the art and may
15 involve an analysis of the pattern of brightness signals determined by the pixels that make up an imaging array inside one or more cameras. For example, the various pixel sensors may provide outputs. If an output is above a certain level it may be considered white and if it is below a certain level it may be deemed to be black. A significant change in the number of white and black outputs can be recognized as a scene change initiating the selection of one
20 frame from the streaming video.

While the present invention has been described with respect to the use of a single frame, a continuing analysis could be done by successively or continually analyzing successive frames.

Once a frame is selected, as indicated in block 56, conventional pattern recognition
25 software may be utilized (block 58) to determine if a particular pattern is reflected by the digital video data stream. This software may recognize a particular preprogrammed image such as the finger pointing image or it may be trained to learn a particular image through cooperation of the computer owner or user.

Alternatively, a distinct object may be associated with the user so that the object may
30 be easily recognized. For example, a distinctly colored ring may be used which can be readily detected. Movement of the ring may be detected as a pointer position command.

At diamond 60, the software checks to determine whether the selected frame contains a pattern matching a predetermined pattern which corresponds to a pointer position command. If not, the flow returns to select another frame.

5 If a pattern match is detected, the first and subsequent frames are compared (block 62) and a determination is made as to whether there has been a change in position (block 66). If a position change is recognized, a rate and direction of movement of the image are determined. The extent, rate and/or direction of movement can be used to provide a corresponding movement of the pointer (block 67). This is done by sending a signal to the display controller to move the pointer (block 68) on the display screen 22 according to the extent, direction or
10 rate.

The software 78 for enabling the system to calibrate a focus point for pointer position commands is shown in Figure 6. The software 78 may be utilized during a calibration period in order to program the system to recognize the desired inputs. Referring to Figure 6, initially streaming video is received as indicated in block 80. A frame of that streaming video is
15 captured, as illustrated in block 82.

Next, the system is prompted for movement in a defined direction as shown in block 84. For example, an onscreen display may guide the user through the learning process. For example, the onscreen display may first direct the user to show a left cursor position command. The sequence then cycles through each of the cursor command directions. Once
20 the system knows what cursor command is being programmed, it may capture a new (subsequent) frame of video as indicated in block 86. The new (subsequent) frame and the prior frame are compared to determine a difference that amounts to a given cursor command (block 88). A check at diamond 90 determines whether a focus point has been identified. In other words, the system must be able to differentiate the two frames sufficiently to be able to
25 thereafter recognize those differences as a particular pointer position command. If not, the process is tried again. Otherwise, the system saves off the focus point data of the identified focus point, as indicated in block 92.

Referring to Figure 7, the software 79 enables a calibration of speed and direction of pointer position commands. The focus point data of the focus point determined by the
30 software 78 is loaded at block 94. Streaming video is received as indicated at block 96 and a frame is captured as shown in block 98. A focus point is identified such as the user's finger as indicated in block 100 and a movement prompt is provided (block 102). The prompt may

be a message block on the screen asking the user to implement what the user wants to use as a desired movement. A new (subsequent) frame is captured in block 104 and compared to the frame captured in block 98, as illustrated by block 106.

5 The focus point movement is identified (block 108) and a direction and rate of movement are calculated as indicated in block 110. A correlation factor for x, y and z directions is used to calculate the corresponding rate and direction of movement of the screen pointer (block 112). The screen pointer is then moved as indicated in block 114. The user then may adjust the amount of pointer movement for a given movement of the user's finger, for example through inputs provided by a prompt screen, as indicated in block 116. In
10 addition, the user may provide alternative inputs which could be received as a particular pointer position command. The user is then prompted to indicate whether the user is done in diamond 118. If not, the flow cycles and new commands may be learned as described above. Otherwise the flow is terminated and the information is saved (block 120).

The number of cameras that may be used may be subject to considerable variation.
15 More cameras may result in better 3D imaging. This may allow movements in three dimensions to be recognized as pointer command signals. In addition, camera redundancy may be used to eliminate errors.

While the present invention has been illustrated with respect to a separate camera mounted on the computer, the camera could be integrated into the housing of the computer
20 system itself. In addition, while the invention has been illustrated in connection with cameras sensitive to light in the visible spectrum, infrared detecting cameras could be used as well.

By using streaming video to control pointer position, an electromechanical connection (which may be prone to error or failure) may be eliminated. In addition, the accuracy of the pointing device may be improved, for example, as compared to touch pad pointing devices,
25 because the possibility of incorrect actuation due to dirt or moisture may be eliminated.

While the present invention has been described with respect to a limited number of embodiments, those skilled in the art will appreciate numerous modifications and variations therefrom. It is intended that the appended claims cover all such modifications and variations as fall within the true spirit and scope of the present invention.

30 What is claimed is:

- 1 1. A method for controlling a computer pointer comprising:
2 receiving a digital video stream;
3 analyzing said digital video stream; and
4 controlling the position of the pointer based on the analysis of said video
5 stream.
- 1 2. The method of claim 1 wherein said step of receiving a digital video stream
2 includes capturing a digital video image and inputting a representation of said image to said
3 computer.
- 1 3. The method of claim 1 wherein said analyzing step involves using pattern
2 recognition software to analyze said digital video stream.
- 1 4. The method of claim 1 including extracting a first video frame, and analyzing
2 said video frame using pattern recognition software.
- 1 5. The method of claim 4 including extracting a subsequent frame and comparing
2 said first video frame and subsequent frames.
- 1 6. The method of claim 1 including determining a direction of movement of an
2 object depicted in said digital video stream.
- 1 7. The method of claim 6 determining the rate of movement of said object.
- 1 8. The method of claim 7 including detecting a scene change and when a scene
2 change is detected, selecting a new frame and analyzing said new frame.
- 1 9. An article comprising a medium for storing instructions that cause a computer
2 to:
3 receive a digital video stream;
4 analyze said digital video stream; and
5 control the position of said pointer based on the analysis of said video stream.

1 10. The article of claim 9 wherein including instructions that cause a computer to
2 recognize a pattern corresponding to a particular object from said digital video stream.

1 11. The method of claim 1 further including:
2 detecting a gesture having a semantic meaning; and
3 converting said detected gesture into an input for an electronic device;

1 12. The method of claim 11 wherein converting includes converting said gesture
2 into a pointer control signal.

1 13. The method of claim 11 wherein detecting a gesture includes detecting
2 gestures having the semantic meaning of yes or no.

1 14. The method of claim 11 wherein detecting includes developing a video frame
2 of said gesture.

1 15. The method of claim 24 further including using pattern recognition software to
2 detect said gesture.

1 16. A system comprising:
2 a processor;
3 memory associated with said processor, said memory storing a computer
4 program that causes said processor to:
5 receive a digital video stream;
6 analyze said digital video stream; and
7 control the position of a pointer based on the analysis of said video
8 stream.

1 17. The system of claim 6 including a plurality of digital video cameras.

1/5

FIG. 1

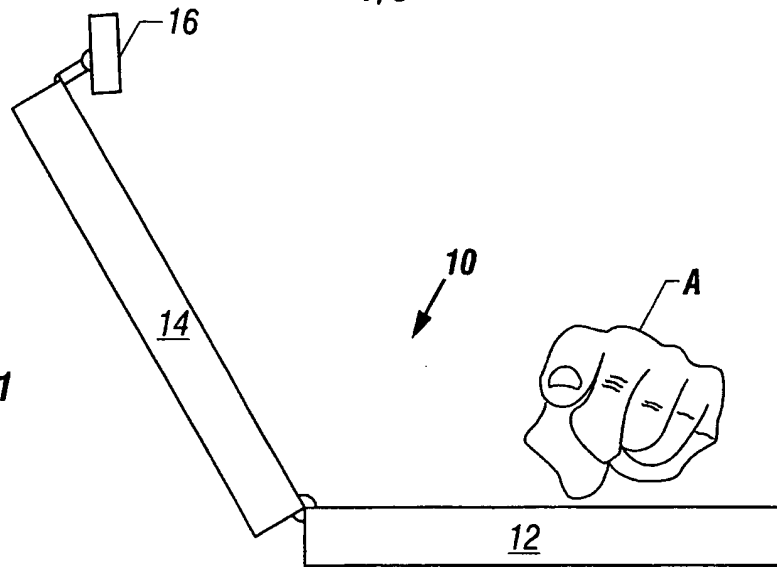
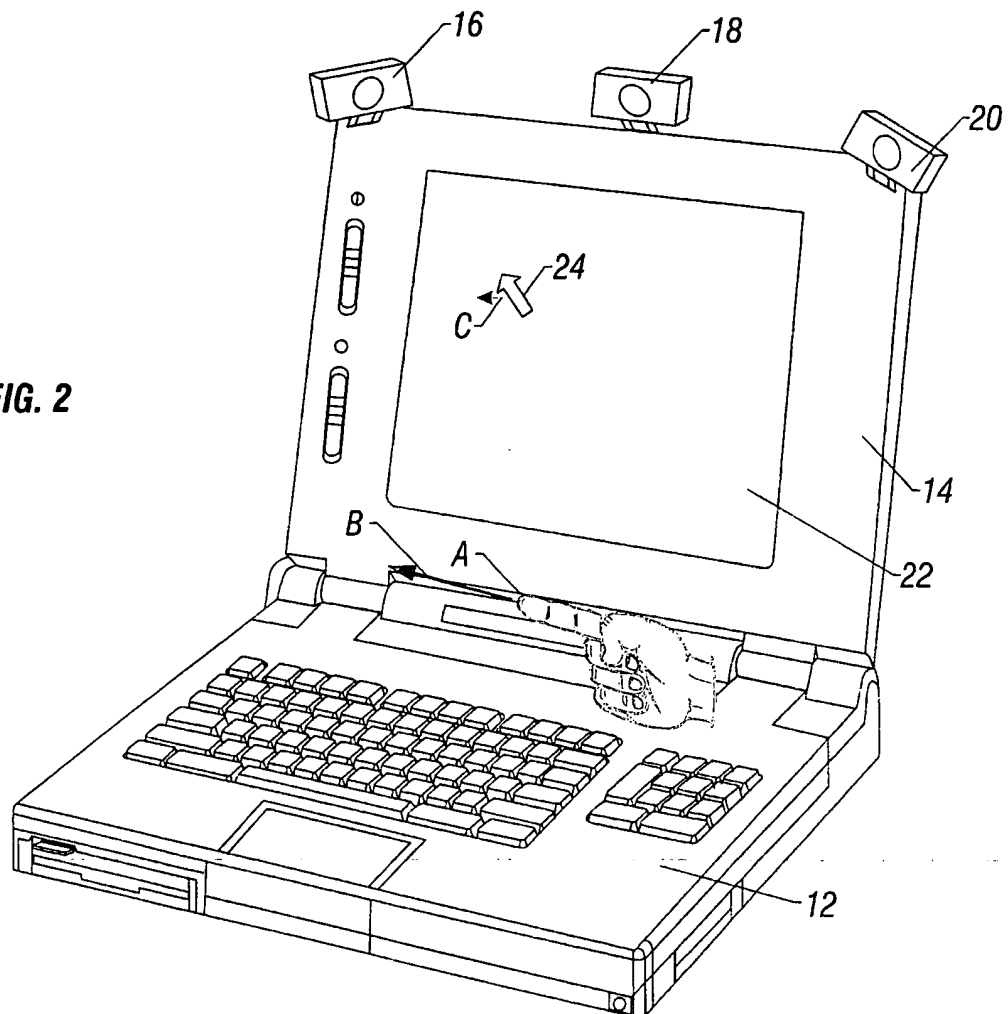
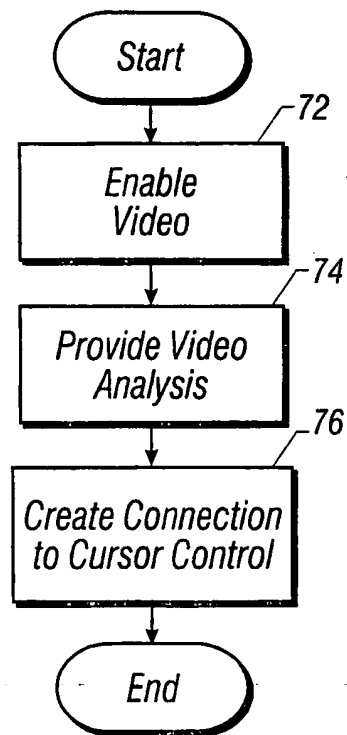
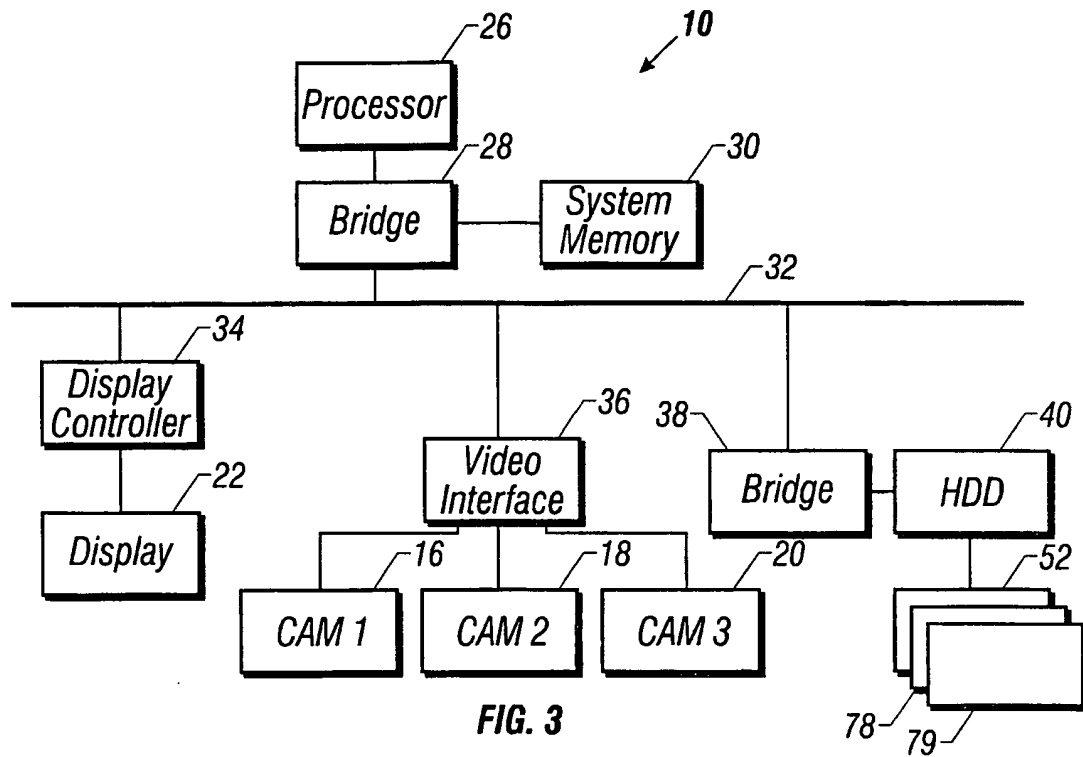


FIG. 2



2/5



3/5

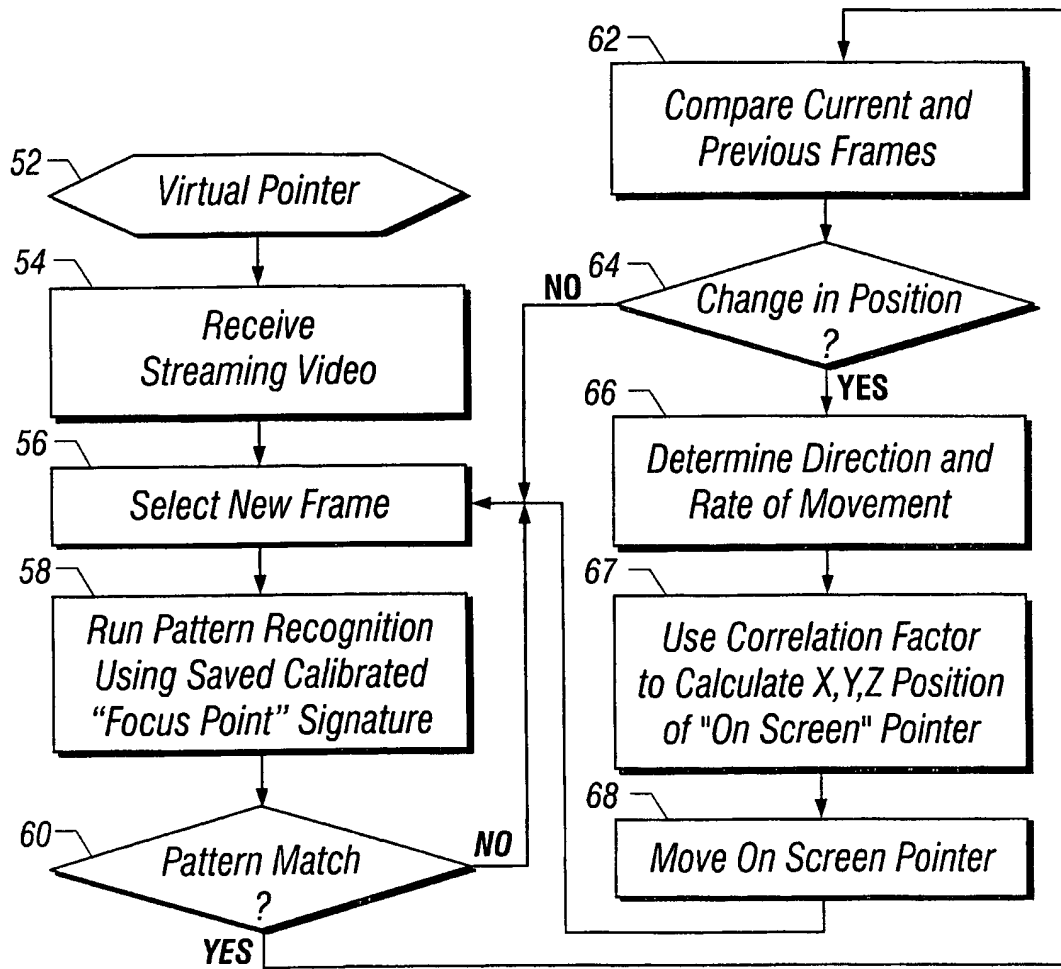


FIG. 5

4/5

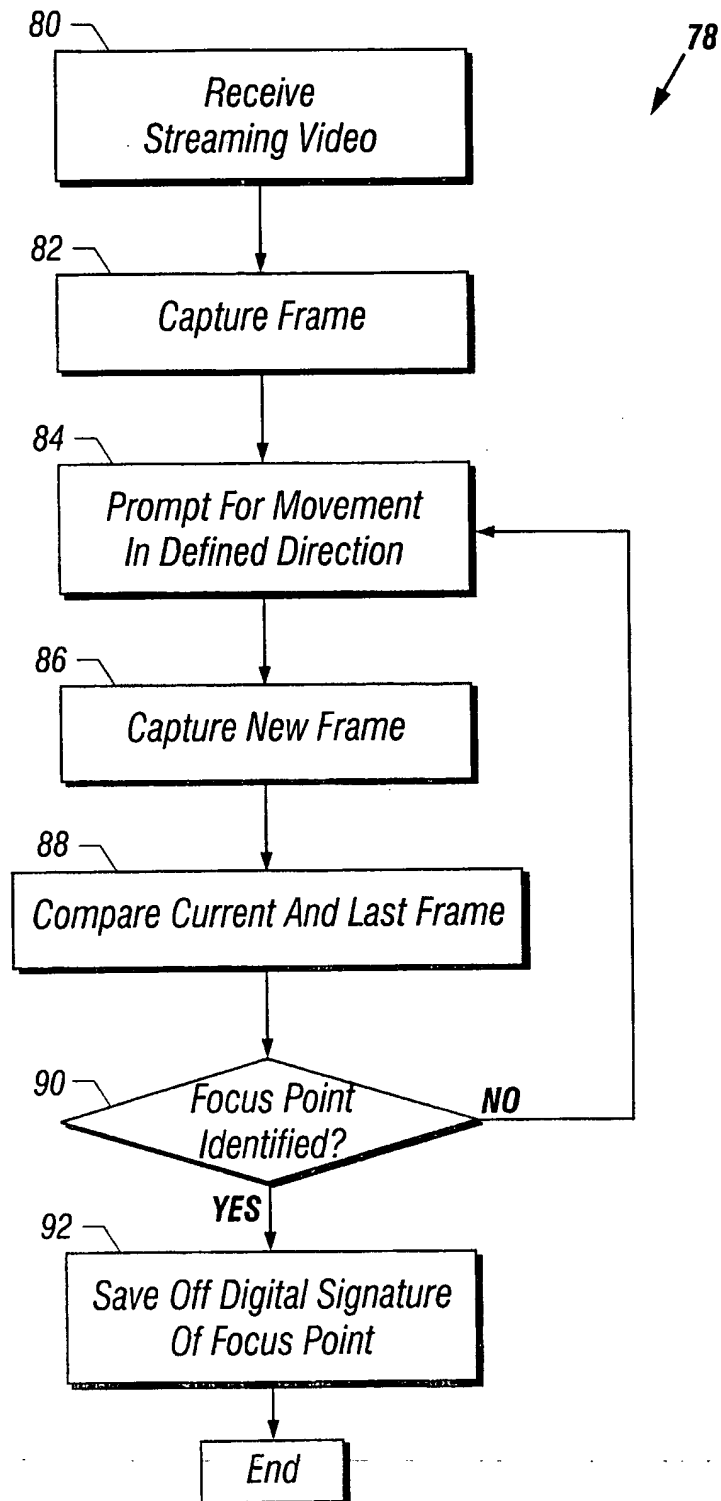


FIG. 6

5/5

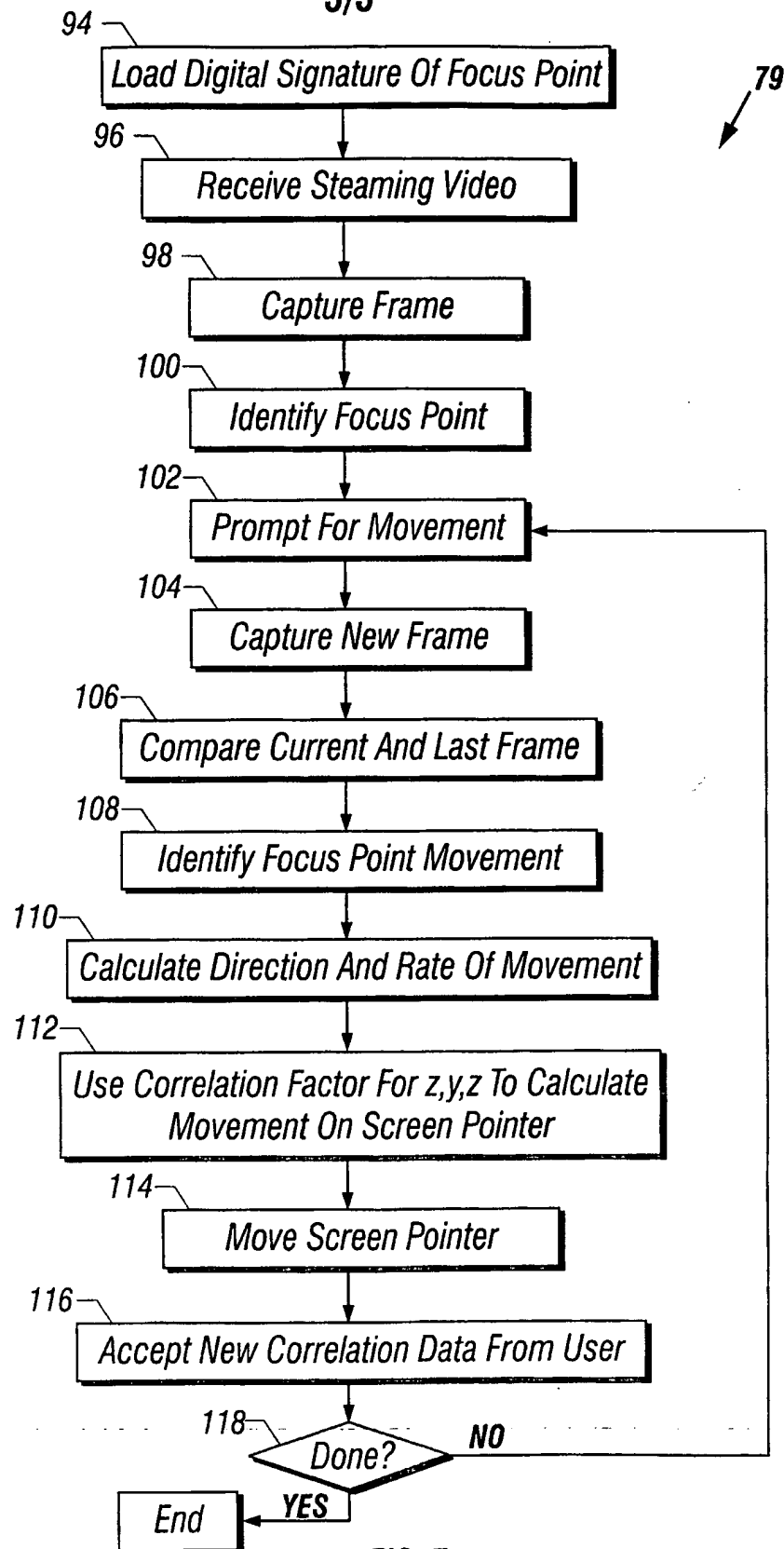


FIG. 7

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/19521

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G06K11/08 G06F3/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G06K G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 866 419 A (TAKENAKA CORP) 23 September 1998 (1998-09-23) column 18, line 28 -column 22, line 27 column 25, line 9 -column 29, line 25 column 37, line 28 -column 42, line 11 figures 1-4,10A-13,16-18,22A-23	1-13,16, 17
A	----	14,15
X	EP 0 837 418 A (TOKYO SHIBAURA ELECTRIC CO) 22 April 1998 (1998-04-22) page 8, line 7 -page 26, line 12 page 33, line 22 -page 35, line 44 figures 1,2,6-46,74-79	1-7, 9-13,16, 17
A	----- -/--	8,14,15

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

18 November 1999

Date of mailing of the international search report

25/11/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Baldan, M

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/19521

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 594 469 A (FREEMAN WILLIAM T ET AL) 14 January 1997 (1997-01-14) column 4, line 66 -column 12, line 24 figures 1-7	1-7, 9-13,16
A		8,14,15, 17
X	US 5 168 531 A (SIGEL CLAUDE) 1 December 1992 (1992-12-01) column 3, line 63 -column 10, line 33 column 12, line 49 -column 13, line 34 figures 1,2,5-12	1-4,6, 9-13,16, 17
A		5,7,8, 14,15
X	US 5 454 043 A (FREEMAN WILLIAM T) 26 September 1995 (1995-09-26) column 5, line 62 -column 11, line 17 figures 1-5	1-4,6, 9-12,16, 17
A		5,7,8, 13-15
X	EP 0 571 702 A (TAKENAKA CORP) 1 December 1993 (1993-12-01) column 3, line 32 -column 7, line 15 figures 1-6	1-4,9, 10,16,17
A		5-8, 11-13

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/19521

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0866419 A	23-09-1998	JP 10326148 A	08-12-1998
EP 0837418 A	22-04-1998	JP 10222285 A	21-08-1998
		JP 10222646 A	21-08-1998
		JP 10177449 A	30-06-1998
US 5594469 A	14-01-1997	JP 2941207 B	25-08-1999
		JP 8315154 A	29-11-1996
US 5168531 A	01-12-1992	DE 69217550 D	27-03-1997
		DE 69217550 T	25-09-1997
		EP 0591412 A	13-04-1994
		WO 9300657 A	07-01-1993
US 5454043 A	26-09-1995	NONE	
EP 0571702 A	01-12-1993	JP 5324181 A	07-12-1993
		JP 5324147 A	07-12-1993
		DE 571702 T	28-04-1994
		EP 0829799 A	18-03-1998

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.